| U.S. Department of Commerce, Patent and Trademark Office | | | | Docket | Docket No. | | Serial No. | | |
|--|---------|--|--|---|----------------------------|---------------------------|-------------------------------|-------|--|
| (PTO Form 1449 modified) | | | | SRI 1PO | SRI 1P042 | | 09/613,235 | | |
| LIST OF PATENTS AND PUBLICATION CITED BY APPLICANT | | | | | Applicant ARNOLD, et al | | Confirmation No.: | | |
| (Use several sheets if necessary) | | | | | Filing Da | ate | Group | | |
| (Use several sheets if necessary) 9 00 5 Examiner | | | | 7/10/00 | 7/10/00 2774 7654 | | | | |
| U.S. Patent | Docu | uments | ATENT & TREE | | | | | | |
| *Examiner Initial | | Document Number | Issue Date | Applicant(s) Name | Class | Subclass | Filing Date If Appropriate | | |
| | A1 | | | | | | | | |
| | A2 | | | | | | | | |
| | А3 | | C | PY OF PAPERS | | | | | |
| | A4 | | OF | IGINALLY FILED | | RECE | INED | | |
| | A5 | | | | | MAR 2 | 9 2002 | | |
| | A6 | | | | | T . | | | |
| | A7 | | | | | Technology | OCINO - | | |
| | A8 | | | | | | | | |
| | A9 | | | | | | | | |
| Foreign Pa | tent D | ocuments | | | <u> </u> | · | * | | |
| *Examiner | | Document | Date | Country | Class | Subclass | Transl | ation | |
| Initial | | Number | | | | | YES | NO | |
| | B1 | | | | | | | | |
| | B2 | | | | | | | | |
| | вз | | | • | | | | | |
| | B4 | | | | | | | | |
| OTHER AR | T | | | | | | | | |
| *Examiner Initial | | Including Author, Title, Date, Pertinent Pages, Etc. | | | | | | | |
| UBC | C1 | "Using Information Extraction to Improve Document Retrieval", John Bear, et al., Proceedings of the Sixth Text Retrieval Conference, Gathiersburg, MD, Nov. 1997, published January 9, 1998 | | | | | | | |
| VBC | C2 | "FASTUS: A Cascaded Finite-State Transducer For Extracting Information from Natural- Language Text", Jerry R. Hobbs, et al., Finite State Devices for Natural Language Processing, MIT Press, 1996 | | | | | | | |
| VBL | С3 | "SRI International Fastus System MUC-6 Test Results and Analysis", Douglas E. Appelt, et al., Proceedings of the Sixth Message Understanding Conference, Columbia, MD 1995 | | | | | | | |
| USC | C4 | "FASTUS: A Finite-state Processor for Information Extraction" Real-world Text, Douglas E. Appelt, et al., Real-World Text, in Proceedings of IJCAI-93, Chambery, France, September 1993 | | | | | | | |
| Examiner | Vik | iy &Chaw | | | Date Con | sidered 6 | 124/04 | | |
| *EXAMINER: through citati | Initial | if reference conside | red, whether or r nd not considered | not citation is in confo d. Include copy of th | ormance with | h MPEP 609; your commu | Draw line nication to | | |

| | S | # II heet 1 of 1 sheet(s) | | | |
|----|-----|-------------------------------|--|--|--|
| - | | Serial No. | | | |
| | | 09/613,235 | | | |
| | | Confirmation No.: 9628 | | | |
| | | Group | | | |
| | | 2771 265 Y | | | |
| | | | | | |
| s | | Filing Date If Appropriate | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| Ε | C | EIVED | | | |
| JA | N | <u> 9 5 2804</u> | | | |
| no | 10(| gy Center 2100 | | | |
| | O. | | | | |

| U.S. Department of Commerce, Patent and Trademark Office | | | | Docket | Docket No. | | Serial No. | | |
|--|---|---|-------------------------------------|---------------------------|-----------------------------|--------------|-------------------------------|------|--|
| (PTO Form 1449 modified) | | | | DCRN 4015-5 (SRI1P042) | | 09/613,235 | | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | | Applicant Arnold, et al. | | Confirmation No.: 9628 | | |
| (Use several sheets if necessary Examiner | | | | Filing Date | | Group | | | |
| | | | | | 07/10/2000 | | 2771 265 Y | | |
| U.S. Paten | t Doc | uments | ISSUE DE LES | | | | | | |
| *Examiner Initial | | Document Number | Issue Date | Applicant(s) Name | Class | Subclass | Filing Date If Appropriate | | |
| UBL | A1 | 5,434,777 | 07/18/1995 | Luciw | 364 | 419.13 | | | |
| UPL | A2 | 5,742,816 | 04/21/1998 | Barr et al. | 395 | 615 | | | |
| JBL. | А3 | 5,748,974 | 05/05/1998 | Johnson | 395 | 759 | | | |
| VBL | A4 | 5,895,464 | 04/20/1999 | Bhandari et al. | 707 | 3 | | | |
| | A5 | | | | | RECEIVED | |) | |
| | A6 | | | | | | N 9 5 2804 | | |
| | A7 | | | | | | | | |
| | A8 | | | Technolo | | ogy Center 2 | 100 | | |
| | A9 | | | | T I | 10-10 | | | |
| | A10 | | | | | | | | |
| Foreign Pa | tent l | Documents | | | | | | | |
| *Examiner | | Document | Date | Country | Class | Subclass | Translation | | |
| Initial | | Number | | | | | YES | NO | |
| UK | B1 | 0 609 517 | 08/10/1994 | EPO | G06F | 15/403 | | | |
| VBC | B2 | 0 747 881 | 12/11/1996 | EPO | G10L | 7/10 | | | |
| UBC | В3 | 0 895 396 | 02/03/1999 | EPO | H04M | 3/50 | | | |
| | B4 | | | | | | | | |
| | B5 | | | | | | | | |
| OTHER AR | T | | | | | | | | |
| *Examiner Initial | | Including Author, Title, Date, Pertinent Pages, Etc. | | | | | | | |
| VBL | C1 | Copy of International Search Report dated November 21, 2003 for corresponding PCT application, PCT/US00/25346 | | | | | | | |
| | C2 | | | | | | | | |
| | C3 | | | | | | | | |
| Examiner ' | ner Vijaushawan Date Considered 6/7/104 | | | | | | | | |
| *EXAMINER citation if not | : Initial | if reference conside formance and not co | red, whether or nonsidered. Include | ot citation is in confo | ormance wit | h MPEP 609; | Draw line thr | ough | |